

# Incomparable Degrees in PACi and PAC Learning

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# PAC Learning Model

- ▶ PAC stands for **P**robably **A**pproximately **C**orrect
- ▶ It is a Machine learning model.
- ▶ It was introduced by Leslie Valiant in 1984.

# Why this is important

- ▶ PAC and PAC<sub>i</sub> reducibilities give partial ordering and a degree structure.
- ▶ Helps to understand the structure of PAC learnability.
- ▶ If it is a linear ordering, then it has only one way of non-learnability.
- ▶ If incomparable degrees exist then there are at least two different ways of non-learnability.
- ▶ If there exists an embedding from known degrees to PAC<sub>i</sub> or PAC degrees, then all the properties true for the known degree will be true for PAC<sub>i</sub> or PAC degrees.

# PAC Learning Model (Valiant 1984) Cont.

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3. The elements of  $C$  are called *concepts*.

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On input  $(\epsilon, \delta)$ , the algorithm  $L$  will ask for some number  $n$  of examples, and will be given  $\{(x_1, i_1), \dots, (x_n, i_n)\}$  where  $x_k$  are independently randomly drawn from  $\mathcal{D}$  and  $i_k = \chi_c(x_k)$ .



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The algorithm will then output some  $h \in C$  with probability at least  $1 - \delta$  in  $\mathcal{D}$ , the symmetric difference of  $h$  and  $c$  has the probability at most  $\epsilon$  in  $\mathcal{D}$ .

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Return the hypothesis  $H = (h, \infty)$ .



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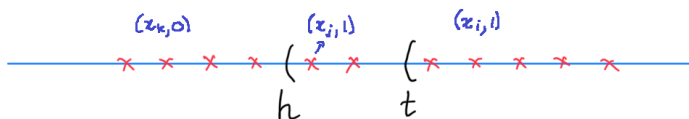


Figure 1: Training data error

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Since one example missing  $(t, b)$  has the probability  $(1 - \epsilon)$ .

Then  $m$  examples missing  $(t, b)$  has the probability  $(1 - \epsilon)^m$ .

# More Examples

## Example

Suppose  $X$  is the real line.

- ▶ Let  $C$  be the set of positive half lines then  $C$  is PAC learnable.
- ▶ Let  $C$  be the set of negative half lines then  $C$  is PAC learnable.
- ▶ Let  $C$  be the set of intervals then  $C$  is PAC learnable.

Suppose  $X$  is  $\mathbb{R}^2$ .

- ▶ Let  $C$  be the set of axis aligned rectangles then  $C$  is PAC learnable.
- ▶ Let  $C$  be the set of convex  $d$ -gons then  $C$  is PAC learnable for any  $d$ .

Suppose  $X = \mathbb{R}^d$ .

- ▶ Let  $C$  be the set of linear-half spaces. Then  $C$  is PAC learnable.

# Weakly effective concept class

## Definition

A weakly effective concept class is a computable enumeration  $\varphi_e : \mathbb{N} \rightarrow \mathbb{N}$  such that  $\varphi_e(n)$  is a  $\Pi_1^0$  index for a  $\Pi_1^0$  tree  $T_{e,n}$ .

# An effective concept class

## Definition

An effective concept class is a weakly effective concept class  $\varphi_e(n)$  such that for each  $n$ , the set  $c_n$  of paths through  $T_{e,n}$  is computable in the sense that there is a computable function  $f_{c_n}(\sigma, r) : 2^{<\omega} \times \mathbb{Q} \rightarrow \{0, 1\}$  such that

$$f_{c_n}(\sigma, r) = \begin{cases} 1 & \text{if } B_r(\sigma) \cap c_n \neq \emptyset \\ 0 & \text{if } B_{2r}(\sigma) \cap c_n = \emptyset \\ 0 \text{ or } 1 & \text{otherwise} \end{cases}$$

where  $B_r(\sigma)$  is the set of all paths that either extend  $\sigma$  or first differ from it at the  $-\lceil lg(r) \rceil$  place or later.

# Figure

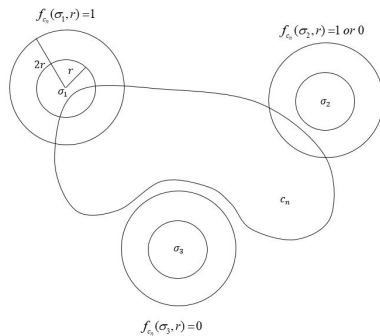


Figure 2: Computable function  $f_{c_n}(\sigma, r)$

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We can say that an effective concept class is a set of  $\Pi_1^0$  classes. A  $\Pi_1^0$  class is expressed as the set of infinite paths through a computable tree or the set of infinite paths through a  $\Pi_1^0$  tree.

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Since the distance of a point from the boundary can be computed, the linear half-spaces with computable coefficients is a computable set.

Consider  $\mathbb{R}^2$ . There are algorithms to compute the distance from a point to a line. The line has computable coefficients. Here no need to use the full precision reals.



# PACi Reducibility (Calvert 2018)

## Definition

Let  $C$  be an effective concept class over the instance space  $X$  and  $D$  an effective concept class over the instance space  $Y$ .

We say that  $C$  PACi reduces to  $D$ , which we denote by  $C \leq_{\text{PACi}} D$  exactly when there are functions  $g : X \rightarrow Y$  and  $h : C \rightarrow D$  such that

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The “i” indicates the independence of this definition from size and computation time.

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# Learnability and Reducibility

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*Let  $C$  and  $D$  be concept classes. Then if  $C$  PAC-reduces to  $D$ , and  $D$  is PAC learnable,  $C$  is PAC learnable.*

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If the instance  $x \in X$  are drawn according to  $\mathcal{D}$ , then the instances  $g(x) \in Y$  are drawn according to some induced distribution  $\mathcal{D}'$ .

Although we do not know the target concept  $c$ , our definition of reduction guarantees that the computed examples  $(g(x), h(c))$  are consistent with some  $d \in D$ , and thus  $L'$  will output a hypothesis  $t'$  that has an error at most  $\epsilon$  with respect to  $\mathcal{D}'$ .

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Our hypothesis for  $c$  becomes  $t(x) = t'(g(x))$ , which has at most  $\epsilon$  error with respect to  $\mathcal{D}$ .



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The quantification of the amount of absolute information in individual objects that are invariant up to an additive constant is known as the Kolmogorov Complexity.

# Example

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Let  $\{c_n\}_{n=1}^\infty$  be a family of trees, where  $c_n$  has  $n$  number of 1's and followed by zeros.

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We calculate the Kolmogorov Complexity of the initial segment of the path of the tree  $c_n$ .

Thus size of  $c_n$  is given by  $size(c_n) = K((c_n)_{1:n}|n) \leq k$  where  $k$  is a constant. This is possible since the finite segment of the tree is computable.

- ▶ Observe that the empty concept class on the empty instance space is reducible to any other concept class.



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- ▶ We can infer that there are  $\leq_{PAC}$  incomparable concept classes since there are continuum many concept classes on a countably infinite instance space.

- ▶ Observe that the empty concept class on the empty instance space is reducible to any other concept class.
- ▶ Also any concept class is reducible to itself through the identity function.
- ▶ We can infer that there are  $\leq_{PAC}$  incomparable concept classes since there are continuum many concept classes on a countably infinite instance space.
- ▶ This degree structure is analogous to Turing degrees and their structures. So, we can expect the effective concept classes to behave in a similar manner to computably enumerable degrees.

# PAC<sub>i</sub> Degree and PAC Degree

## Definition

We say  $C \sim_{PAC_i} D$  if  $C \leq_{PAC_i} D$  and  $D \leq_{PAC_i} C$ , the relation  $\sim$  is an equivalence relation. The PAC<sub>i</sub> degree of concept class  $C$  is  $deg(C) = \{D : D \sim_{PAC_i} C\}$

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Now we can show that *for all*  $x \in \mathbb{R}$  and *for all* positive half lines  $c = (a, \infty)$  in  $C$  we have  $x \in c$  iff  $g(x) \in h(c)$  where  $h(c)$  is a negative half line.

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This will give us  $C \leq_{PACi} C'$ . With appropriate functionals, we can show that  $C' \leq_{PACi} C$ . Thus  $C \sim C'$ .

## Theorem

*There exist effective concept classes  $C$  and  $D$  over the instance space  $X = Y = 2^\omega$  such that  $C$  does not PACi reduce to  $D$  and also  $D$  does not PACi reduce to  $C$ . (i.e.  $C \not\leq_{\text{PACi}} D$  and  $D \not\leq_{\text{PACi}} C$ ).*

# Sketch of Proof:

The two concept classes  $C$  and  $D$  are constructed over the instance spaces  $X$  and  $Y$  respectively. Let  $\{h_t \mid t \in \mathbb{N}\}$  enumerate the set of all partial computable functions from  $\mathbb{N} \rightarrow \mathbb{N}$ .

Requirements :  $R_{2t}$  : there exists  $c \in C$  such that  $h_t(c) \notin D$

$R_{2t+1}$  : there exists  $d \in D$  such that  $h_t(d) \notin C$

# Satisfying one requirement

Consider  $R_{2t}$ .

To satisfy the requirement  $R_{2t}$  we will attach a potential witness  $c$ : a concept, to  $R_{2t}$  which is not yet enumerated in  $C$ .

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Let  $\{c_n\}_{n=1}^{\infty}$  be a family of trees, where  $c_n$  has  $n$  number of 1's and followed by zeros. In this sequence each of these trees  $c_n$ , consists of a single infinite path.



## Satisfying one requirement cont.

We will use  $B_s$  to keep track of the set of all trees that we plan not to enumerate in  $C$ .

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We will enumerate  $c$  in  $C_s$  and enumerate  $h_t(c)$  in  $A_s$ .

Thus we restrain the tree  $h_t(c)$  from later entering to  $D$  by checking the condition,  $d \notin A_{s+1}$ , and selecting the next indexed concept from the sequence.

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We have  $C \not\leq_{PAC_i} D$ .

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We call the sets  $A$  and  $B$  restraint sets.

# Construction of the two concept classes, $C$ and $D$ .

Let  $X = Y = 2^\omega$ .

Let  $\{c_n\}_{n=1}^\infty$  be a family of trees, where  $c_n$  has  $n$  number of 1's and followed by zeros. In this sequence each of these trees  $c_n$ , consists of a single infinite path.

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**Stage  $s = 0$ :** Let  $C_0 = D_0 = \phi$  and  $A_0 = B_0 = \phi$ .

**Stage  $s + 1$  :**

Requirement  $R_{2t}$  requires attention, if we have not enumerated a witness,  $c \in C$  for the requirement  $R_{2t}$ .

Requirement  $R_{2t+1}$  requires attention, if we have not enumerated a witness,  $d \in D$  for the requirement  $R_{2t+1}$ .



# Construction of the two concept classes, $C$ and $D$ .

Choose least  $i \leq s$  such that  $R_i$  requires attention.

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If  $d$  exists then enumerate  $d \in D_{s+1}$  and  $h_t(d)$  in  $B_{s+1}$ . Do nothing if such a  $d$  does not exist.

# Construction of the two concept classes, $C$ and $D$ .

At each stage, we will be checking through a finite amount of trees in  $C_s$ ,  $D_s$ ,  $A_s$ , or  $B_s$ .

When a requirement is satisfied at stage  $s$  it will remain satisfied forever.  
Thus we have  $C \not\leq_{PAC_i} D$  and  $D \not\leq_{PAC_i} C$

## Theorem

*There exist effective concept classes  $C$  and  $D$  over the instance space  $X = Y = 2^\omega$  such that  $C$  does not PAC reduce to  $D$  and also  $D$  does not PAC reduce to  $C$ . (i.e.  $C \not\leq_{PAC} D$  and  $D \not\leq_{PAC} C$ ).*



# Sketch of the proof:

Requirements :

$R_{2t}$  : there exists  $\sigma \in c$  where  $c \in C$  s.t.  $g_t(\sigma) \notin d, \forall d \in D$

$R_{2t+1}$  : there exists  $\tau \in d$  where  $d \in D$  s.t.  $g_t(\tau) \notin c, \forall c \in C$

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We can show that  $\text{deg}(A \oplus B)$  is the least upper bound for the  $\text{deg}(A)$  and  $\text{deg}(B)$  in  $(P, <)$  where  $P$  is the class of all PAC degrees. The degree  $P$  forms a partially ordered set under relation  $\text{deg}(A) \leq \text{deg}(B)$ .

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We can show that  $\bigoplus_{i \in \omega} C_i$  is an effective concept class.

$$(\bigoplus_{i \in \omega} C_i)_e = \{1^i 0 \sigma \mid \sigma \in (C_i)_e, i, e \in \omega\}$$

e-th tree of  $\bigoplus_{i \in \omega} C_i$

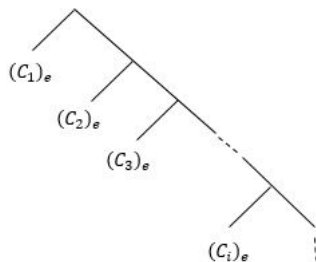


Figure 3: The e-th tree of the concept class  $\bigoplus_{i \in \omega} C_i$

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Proof:

Since each effective concept class,  $C_i$  is computable, there exist a computable  $f_{C_n}^i(\sigma, r)$  for each  $i$ . Then define  $f_{C_n}(\sigma, r) : 2^{<\omega} \times \mathbb{Q} \rightarrow \{0, 1\}$

$$f_{C_n}(\sigma, r) = \begin{cases} 1 & \text{if } \sigma = 1^{|\sigma|} \\ f_{C_n}^i(\tau, r') & \text{if } \sigma = 1^i 0 \tau \end{cases}$$

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$\text{deg} \left( \bigoplus_{j \in \omega} A_j \right)$  is the least upper bound for  $\{ \text{deg}(A_y) \mid y \in \omega \}$ .



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$\text{deg} \left( \bigoplus_{j \in \omega} A_j \right)$  is the least upper bound for  $\{\text{deg}(A_y) \mid y \in \omega\}$ .

Proof:

$$\begin{aligned} g_y : 2^\omega \rightarrow 2^\omega \quad \text{and} \quad h_y : A_y \rightarrow \bigoplus_{j \in \omega} A_j \quad \text{by} \\ \sigma \mapsto 1^y 0 \sigma \quad \text{and} \quad (A_y)_e \mapsto \left( \bigoplus_{j \in \omega} A_j \right)_e \end{aligned} \quad (1)$$

Hence  $A_y \leq_{\text{PACi}} \bigoplus_{j \in \omega} A_j$  for all  $y$ . Therefore

$$\text{deg}(A_y) \leq \text{deg} \left( \bigoplus_{j \in \omega} A_j \right) \quad \text{for all } y \quad (2)$$

# Embedding 1-degrees to PACi degrees

Let  $\mathcal{P}$  be the PACi degrees of effective concept class. Let  $\mathcal{C}$  be the 1-degree of c.e. sets.

Is there  $\phi : \mathcal{C} \rightarrow \mathcal{P}$  such that  $\mathbf{a} \leq_1 \mathbf{b}$  iff  $\phi(\mathbf{a}) \leq_{\text{PACi}} \phi(\mathbf{b})$ ?

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There are c.e. sets  $W_{e_1} \in \mathbf{a}$  and  $W_{e_2} \in \mathbf{b}$ .

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Sketch of the proof:

There are c.e. sets  $W_{e_1} \in \mathbf{a}$  and  $W_{e_2} \in \mathbf{b}$ .

$W_{e_1} \mapsto \{c_n \mid n \in W_{e_1}\} = C_{\phi(e_1)}$  where  $c_n = 1^n \bar{0}$ .

$W_{e_2} \mapsto \{c_n \mid n \in W_{e_2}\} = C_{\phi(e_2)}$ .

# Continuing sketch of the proof:

Since  $W_{e_1} \leq_1 W_{e_2}$  there is a 1-1 function  $\psi$ .

$$\begin{array}{ccc} \psi : W_{e_1} & \longrightarrow & W_{e_2} \\ n & \longrightarrow & \psi(n) \\ \phi \downarrow & & \downarrow \phi \\ c_n & \longrightarrow & c_{\psi(n)} \end{array} \quad (3)$$

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$$g : X = 2^\omega \rightarrow Y = 2^\omega$$

and

$$h : C_{\phi(e_1)} \rightarrow C_{\phi(e_2)}$$

$$\sigma \mapsto \begin{cases} \tau & \text{if } \sigma = c_n \text{ where } \tau = c_{\psi(n)} \\ \sigma & \text{otherwise} \end{cases}$$

$$c_n \mapsto c_{\psi(n)} \quad (4)$$

## Lemma

If  $W_{e_1} \not\leq_1 W_{e_2}$  then  $C_{\phi(e_1)} \not\leq_{PACi} C_{\phi(e_2)}$ .

Sketch of the proof:

we will use the contrapositive of this statement. That is, if  $C_{\phi(e_1)} \leq_{PACi} C_{\phi(e_2)}$  then  $W_{e_1} \leq_1 W_{e_2}$ .

Since  $C_{e_1} \leq_{PACi} C_{e_2}$  there exist  $g$  and  $h$  but we will consider only  $h$ .

$$\begin{array}{ccc} h : C_{e_1} & \longrightarrow & C_{e_2} \\ c_n & \longrightarrow & h(c_n) \\ \phi' \downarrow & & \downarrow \phi' \\ n & \longrightarrow & h(n) \end{array} \quad (5)$$

# Sketch of the proof continues

To obtain the 1-reduction between  $W_{\phi'(e_1)}$  and  $W_{\phi'(e_2)}$  define  $\psi$  as follows. Each  $n \in W_{\phi'(e_1)}$  will be mapped to  $h(n)$  as in Equation 6.

$$\begin{aligned} \psi : W_{\phi'(e_1)} &\rightarrow W_{\phi'(e_2)} \\ n &\mapsto h(n) \end{aligned} \tag{6}$$



Thank you!